

12-17-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01290A

First Inventor Lyn Hughes, et al.

Title Dosage Forms

Express Mail Label No. EF150164225US

100-14226  
U.S. PTO  
11/02/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 24]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets   ]

5. Oath or Declaration [ Total Pages    ]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. ....

Prior application information: Examiner. ....

Group Art Unit. ....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(insert Customer Number or Bar Code Label here)		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	21898 PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	James G. Youros	Registration No. (Attorney/Agent)	34,453
Signature	<i>James G. Youros</i>		Date 11/2/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 824)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Lyn Hughes, et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	A01290A

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**Deposit Account Name **Rohm and Haas Company**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
101 740	201 370
Utility filing fee	
106 330	206 165
Design filing fee	
107 510	207 255
Plant filing fee	
108 740	208 370
Reissue filing fee	
114 160	214 80
Provisional filing fee	

Fee Paid
740

SUBTOTAL (1) (\$ 740)

## 2. EXTRA CLAIM FEES

Total Claims	10	-20** =	0	X	18	=	0
Independent Claims	4	-3* =	1	X	84	=	84
Multiple Dependent					280	=	0

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)

103 18 203 9	Claims in excess of 20
102 84 202 42	Independent claims in excess of 3
104 280 204 140	Multiple dependent claim, if not paid
109 84 209 42	** Reissue independent claims over original patent
110 18 210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	
127	50 227	25 Surcharge - late provisional filing fee or cover sheet	
139	130 139	130 Non-English specification	
147	2,520 147	2,520 For filing a request for ex parte reexamination	
112	920* 112	920* Requesting publication of SIR prior to Examiner action	
113	1,840* 113	1,840* Requesting publication of SIR after Examiner action	
115	110 215	55 Extension for reply within first month	
116	400 216	200 Extension for reply within second month	
117	920 217	460 Extension for reply within third month	
118	1,440 218	720 Extension for reply within fourth month	
128	1,960 228	980 Extension for reply within fifth month	
119	320 219	160 Notice of Appeal	
120	320 220	160 Filing a brief in support of an appeal	
121	280 221	140 Request for oral hearing	
138	1,510 138	1,510 Petition to institute a public use proceeding	
140	110 240	55 Petition to revive - unavoidable	
141	1,280 241	640 Petition to revive - unintentional	
142	1,280 242	640 Utility issue fee (or reissue)	
143	460 243	230 Design issue fee	
144	620 244	310 Plant issue fee	
122	130 122	130 Petitions to the Commissioner	
123	50 123	50 Processing fee under 37 CFR 1.1 7(q)	
126	180 126	180 Submission of Information Disclosure Stmt	
581	40 581	40 Recording each patent assignment per property (times number of properties)	
146	740 246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740 249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740 279	370 Request for Continued Examination (RCE)	
169	900 169	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

- Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453	Telephone	(215) 592-2564
Signature	<i>James G. Vouros</i>				
				Date	11/02/01

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. A01290A

JPW/ams

In re application of:  
Lyn Hughes, et al. :

Serial No: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Dosage Forms

Assistant Commissioner for Patents  
Washington, DC 20231

PCT AVAILABLE COPY

**CERTIFICATE OF EXPRESS MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Fee Transmittal (in duplicate)  
Non-Provisional Patent Application  
Preliminary Amendment and Priority Request  
Return Receipt Postcard

November 2, 2001  
Date

Angela M. Sobociński  
Signature

U.S. Postal Service Express Mail Label No. EF150164225US

100164225-112001

BEST AVAILABLE

PATENT APPLICATION

OF

LYN HUGHES  
SIMON ANDREW BELLAMY

FOR

DOSAGE FORMS

DN A01290A

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JPW

100016336-140204